Van Jacobson's Congestion Avoidance and Control

presented by Leon Poutievski

- Congestion
  - Load exceeds the capacity of the network
  - Overflow at router queues
- ATM: channel is reserved during the connection setup
- IP: if queue is full, packets are dropped



- Problem
  - Wasted bandwidth (retransmission required)
  - Unpredictable delay
- October 1986: first "congestion collapse"
  - Caused by TCP retransmissions
- Scenario:

TCP sends a window's worth of data Some of it gets lost; the rest sits in queue Sender times out, retransmits everything Result: Multiple copies of same data in queue! (REPEAT)

- Crux of the problem:
  - When the router is congested (i.e. its queue is full), retransmitting data all at once is exactly the wrong thing to do
  - But this is what TCP spec said to do
    - Go-back-N protocol
  - Send window is the only constraint on sending rate



- Thus: send window controls how fast sender x-mits
- Original TCP (flow control):

send window := rcv window

- Conclusion: sender should also consider the network capacity
- Idea: reduce send window to reduce rate under congestion
- Solution: add congestion window send window := min (rcv window, congestion window)

### "Conservation of packets" principle

• Equilibrium = "stable,

full window of data in transit"

- "Conservative" packet flow
  - New is not put into network until an old leaves
- Possible problems with packet conservation
  - 1. Didn't get to equilibrium
  - 2. Sender ejects new packets before old has exited
  - 3. Equilibrium can't be reached

## Getting to Equilibrium: Slow-start

- Self-clocking system
  - Automatically adjusts to BW & delay variations
  - Sender uses ACK as a 'clock'
  - Hard to start





• Packet size (area) = BW x time

## Slow-start algorithm

- Add "congestion window" to algorithm
  - send window := min (rcv window, cwnd);
- When starting (restarting) cwnd := 1 packet;
- On ACK for new data cwnd += 1 packet;

#### Slow-start, analysis

- "Slow" is misnomer:
  - rate grows exponentially
  - On each ack 2 pkts are sent
    - one for the packet acked (left n/w)
    - one to increase the window
  - So SWS doubles every RTT
- It takes  $R \log_2 W$ 
  - R: round trip time
  - W: window size in packets



#### Slow-start, analysis



# Conservation at Equilibrium (round-trip timing)

- Need good estimate of
  - RTT mean estimate
  - RTT variation estimate
    - It increases quickly with load

for retransmit timeout interval (rto) (described later)

Exponential backoff after retransmit

 Reasoning: linear systems

Congestion avoidance (adapting to the path)

- Assumption:
  - Losses are due to congestion
    - Losses due damage are rare (<< 1%)
- Strategy
  - If congestion, network must signal to endpoints so that endpoints decrease utilization
  - If no congestion and thus no signals, endpoints increase network utilization

# Congestion avoidance Multiplicative decrease

- $L_i = N + \lambda L_{i-1}$ ,
  - L<sub>i</sub> load at interval i, average queue length

N – constant

- $\lambda \approx 0$ , no congestion
- $\lambda > 1$ , congestion

 $-L_n = \lambda^n L_{0,}$ , grows exponentially

 System stabilizes only if traffic is reduced as quickly as queues are growing

#### Congestion avoidance: Multiplicative decrease

- On congestion:  $W_i = d W_{i-1} (d < 1)$
- Take d = 0.5,  $W_i = W_{i-1}/2$

Motivation: give up ½ BW for a new connection, everybody adapts to new situation

- Congestion detection
  - Did not receive any ack (timeout)
  - Received 3 duplicate acks

#### Congestion avoidance Additive increase

- Also known as "Additive Increase, Multiplicative Decrease" (AIMD)
   – Refers to change in cwnd per RTT
- If no congestion detected:

$$W_i = W_{i-1} + u$$
 (u <<  $W_{max}$ )  
- Take u = 1, so  $W_i = W_{i-1} + W_{i-1}$ 

### Congestion avoidance Algorithm

• On timeout:

cwnd = send window / 2; //multiplicative decrease

- On ack: cwnd += 1 / cwnd; //additive increase
- send window := min (rcv window, cwnd);

# Congestion avoidance, Analysis (sequence numbers)



No congestion avoidance

With congestion avoidance

# Congestion avoidance, Analysis (relative BW)



Total BW

**Delivered BW** 

### Combined algorithm

(slow start + congestion avoidance)

- cwnd = congestion window
- ssthresh = threshold, to switch between algs
- send window = min (rcv window, cwnd);
- On timeout: ssthresh = send window / 2; //mult. decrease, cong. avoid. cwnd = 1; //start slow start
- On ack on new data:

```
if (cwnd < ssthresh)
```

```
cwnd += 1
```

//slow start

else

```
cwnd += 1/cwnd; //congestion avoidance
```

# **Conservation at Equilibrium**

- Need good
  - RTT mean esimate (R)
  - RTT variation esimate (b)

for retransmit timeout interval (rto)

- RFC793: use low pass filter
   R = aR + (1-a)M, M new measurement
- RFC813 suggests: rto = bR = 2R

## RTT estimation, theory

• A := (1-g)A + gM, 0 < g < 1

after rearranging:

• A := A + g(M-A) M-A = Er + Ee, where

Er (random error): due to noise in measurement random kick, they will cancel out

Ee (estimation error): due to bad choice of A

kick in the right direction

- A := A + gEr + gEe, we want large g to get most of Ee, but small g to reduce Er
- Usually take  $0.1 \le g \le 0.2$

# RTT estimation, practice

- Goal: estimate variance of M
- $\sigma^2 = \sum |M-A|^2$ 
  - Squaring can cause overflow
  - Use mean deviation instead

- mdev =  $\sum | M - A |$ 

- $mdev^2 = (\sum |M A|)^2 \le \sum |M A|^2 = \sigma^2$
- For normal distribution:
   mdev = sqrt( π/2 ) sdev ≈ 1.25 sdev

## **RTT** estimation, practice

- Err = M A
- A := A + gErr
- D := D + g(|Err| D) | If (M < 0)
- M -= (SA >> 3);
- SA += M:
  - M = -M;
  - M -= (SD >> 3);
  - SD += M:
  - $g = 1/2^n$
  - $SA = 2^{n}A$
  - $SD = 2^{n}D$

- RTT estimation, final • Err = M - A• M -= (SA >> 3) • SA += M: • A := A + gErr• D := D + g(|Err| - D) = If (M < 0)M = -M: • M -= (SD >> 2); • SD += M: rto = ((SA >> 2) +SD)>>1 rto := A + 2D •  $g_A = 1/2^3$  SA =  $2^3A$ •  $g_D = 1/2^2$  SD =  $2^2D$

#### Analysis

y - time from send till reception of ack by sender



Old TCP

New mean + variance